

# **Certifiedstockpicks.com Simplesecrets.com**

## **Dennis Augustine**

### **Consent Order**

DATE: August 15, 2002 RESPONDENTS: Certifiedstockpicks.com, Simplesecrets.com and Dennis Augustine of Salina, Kansas ORDER: Consent Order issued to respondents Certifiedstockpicks.com, Simplesecrets.com and Dennis Augustine for allegedly operating a fraudulent investment newsletter and using the Internet to tout the newsletter. Respondents neither admit nor deny allegations that respondent Augustine: 1) published a newsletter containing his stock picks and showing returns based upon his recommendations; 2) failed to disclose those stocks whose prices had declined; 3) used the website respondents Certifiedstockpicks.com and Simplesecrets.com to promote the newsletter. Respondent Augustine refunded all proceeds received from sale of his newsletter. Pursuant to the Consent Order, respondents are to Cease and Desist from transacting business as an investment adviser or investment adviser representative without becoming registered as such and to otherwise refrain from any activity that would constitute a violation of the Kansas Securities Act.

**Notice to Investors:** If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the [North American Securities Administrators Association](#) website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.